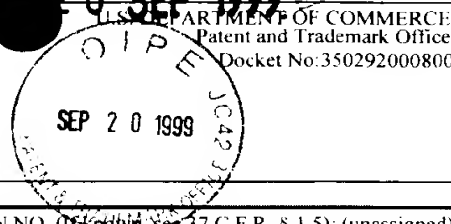


520 Rec'd PCT/PT 20 SEP 1999

09/381598

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. § 371



U.S. APPLICATION NO. (If known, see 37 C.F.R. § 1.5): (unassigned)

INTERNATIONAL APPLICATION NO.
PCT/JP98/01217

INTERNATIONAL FILING DATE
20 March 1998

PRIORITY DATE CLAIMED
21 March 1997

TITLE OF INVENTION: **A PREVENTIVE OR THERAPEUTIC AGENT FOR SENSITIZED T CELL-MEDIATED DISEASES COMPRISING IL-6 ANTAGONIST AS AN ACTIVE INGREDIENT**

APPLICANTS FOR DO/EO/US: Masahiko MIHARA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1 ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.
- 2 ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. § 371.
- 3 This express request to begin national examination procedures (35 U.S.C. § 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. § 371(b) and PCT Articles 22 and 39(1).
- 4 ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5 ☒ A copy of the International Application as filed (35 U.S.C. § 371(c)(2))
 - a ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b ☒ has been transmitted by the International Bureau.
 - c ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6 ☐ A translation of the International Application into English (35 U.S.C. § 371(c)(2)).
- 7 ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3))
 - a ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b ☐ have been transmitted by the International Bureau.
 - c ☒ have not been made; however, the time limit for making such amendments has NOT expired.
 - d ☐ have not been made and will not be made.
- 8 ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(3)).
- 9 ☒ An executed oath or declaration of the inventors (35 U.S.C. § 371(c)(4)).
- 10 ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).

items 11. to 16. below concern document(s) or information included:

- 11 ☒ An Information Disclosure Statement under 37 C.F.R. §§ 1.97 and 1.98.
- 12 ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. §§ 3.28 and 3.31 is included.
- 13 ☐ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
- 14 ☐ A substitute specification.
- 15 ☐ A Notification of the Recording of a Change letter.
- 16 ☒ Other items or information: 1) 5 pages of International Form of Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure; 2) PCT Information Concerning Elected Offices Notified of Their Election; 3) PCT Notice Informing the Applicant of the Communication of the International Application to the Designated Offices; 4) a return postcard.


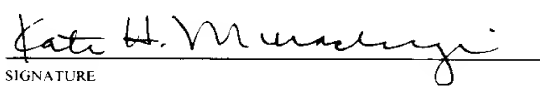
CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail Label No. EJ004416834US Date of Deposit: September 20, 1999

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Sherri N. Shipe

514 Rec'd PCT/PTO 20 SEP 1999

U.S. APPLICATION NO. (If known, see 37 C.F.R. § 1.51 (unassigned)) 09/381598		INTERNATIONAL APPLICATION NO. PCT/JP98/01217		DOCKET NUMBER: 350292000800	
17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 C.F.R. §§ 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO\$840.00 International preliminary examination fee paid to (USPTO (37 C.F.R. § 1.482)).....\$670.00 No international preliminary examination fee paid to USPTO (37 C.F.R. § 1.482) but international search fee paid to USPTO (37 C.F.R. § 1.445(a)(2))\$760.00 Neither international preliminary examination fee (37 C.F.R. § 1.482) nor international search fee (37 C.F.R. § 1.445(a)(2)) paid to USPTO\$970.00 International preliminary examination fee paid to USPTO (37 C.F.R. § 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....\$96.00				CALCULATIONS PTO USE ONLY 	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 840	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	22 - 20 =	2	x \$18.00	\$ 36	
Independent claims	3 - 3 =	0	x \$78.00	\$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00	\$ 260	
TOTAL OF ABOVE CALCULATIONS =				\$1,136	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 C.F.R. §§ 1.9, 1.27, 1.28)					
SUBTOTAL =				\$1,136	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(f)).				+	\$
TOTAL NATIONAL FEE =				\$1,136	
Fee for recording the enclosed assignment (37 C.F.R. § 1.21(h)) The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. §§ 3.28, 3.31). \$40 per property				+	\$ 40
TOTAL FEES ENCLOSED =				\$1,176	
				Amount to be refunded:	\$
				charged:	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ 1,176.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 03-1952 in the amount of \$0.00 to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment to Deposit Account No. 03-1952 .					
NOTE: Where an appropriate time limit under 37 C.F.R. § 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. § 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
Morrison & Foerster LLP 2000 Pennsylvania Avenue, N.W. Washington, D.C. 20006-1888 Telephone: (202) 887-1533 Facsimile: (202) 887-0763			 SIGNATURE Kate H. Murashige Registration No. 29,959		